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Express Mail No.: EL 501 638 376 US
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Francisco *et al.*

Application No.: 09/724,406

Filed: November 28, 2000

For: RECOMBINANT ANTI-CD30 ANTIBODIES AND
USES THEREOF



Group Art Unit: 1642

Examiner: N. Davis

Attorney Docket No.: 9632-006-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R:

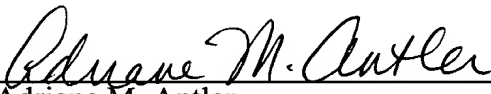
In response to the Notice to File Missing Parts Of Nonprovisional Application – Filing Date Granted, dated February 8, 2001 ("Notice"), Applicants respectfully point out that the requested executed oath and declaration is no longer due. On January 26, 2001, Applicants filed in the United States Patent and Trademark Office ("PTO") a Transmittal of Declaration and Power of Attorney accompanied by the executed Declaration and Power of Attorney, by Express Mail under label no. EL 501 636 619 US. The surcharge for filing the Declaration and Power of Attorney of **\$65.00** for a small entity was authorized on January 26, 2001, accompanied by documents to establish small entity status for the application. A copy of each of these documents, and of the postcard receipt evidencing receipt of these documents by the PTO and Express Mail label no. EL 501 636 619 US with the "date-in" of January 26, 2001, is attached hereto as Exhibit A. Accordingly, these documents are believed to fulfill the requirements of the Notice. A copy of the Notice is enclosed.

The Notice indicates that the balance due by Applicants is \$83, although it is unclear how this figure was calculated. However, should any fees be owed by Applicants,

whether for additional claims fees or otherwise, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date: April 9, 2001


Adriane M. Antler 32,605
(Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/724,406	11/28/2000	Joseph A. Francisco	9632-006-999

20583
PENNIE AND EDMONDS
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 100362711



FORMALITIES LETTER



OC000000005748851

Date Mailed: 02/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 83.

A copy of this notice MUST be returned with the reply.


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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/11/2001 YPOLITE1 00000028 161150 09724406

01 FC:203 18.00 CH
02 FC:205 65.00 CH

Express Mail No.: EL 501 636 619 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Francisco et al.

Application No.: 09/724,406

Filed: November 28, 2000

Group Art Unit: To be assigned

Examiner: To be assigned

Attorney Docket No.: 9632-006-999

For: RECOMBINANT ANTI-CD30 ANTIBODIES AND
USES THEREOF



TRANSMITTAL OF DECLARATION, POWER OF ATTORNEY,
VERIFIED STATEMENT AND
REQUEST TO ESTABLISH SMALL ENTITY STATUS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The Applicants submit herewith for the above-mentioned application an original Declaration for Non-Provisional Patent Application executed by the inventors, Joseph A. Francisco, on January 10, 2001, Grant Risdon, on January 9, 2001, Alan F. Wahl, on January 10, 2001 and Clay Siegall, on January 10, 2001.

The Applicants have assigned their entire right, title and interest in the instant application to Seattle Genetics, Inc. Seattle Genetics, Inc. qualifies as a Small Entity under 37 C.F.R. § 1.27(a)(2). Enclosed herewith are: (i) a Power of Attorney by Assignee and Exclusion of Inventors Under 37 C.F.R. § 3.71 executed by H. Perry Fell, Jr., Chief Executive Officer of Seattle Genetics, Inc., assignee of the above-identified application; and (ii) a Verified Statement Claiming Small Entity Status for Seattle Genetics, Inc. executed by H. Perry Fell, Jr.

It is respectfully requested that the application be accorded Small Entity Status in accordance with 37 C.F.R. § 1.27(a)(2). The surcharge for filing the enclosed Declaration and Power of Attorney is estimated to be \$65.00 for a small entity. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A duplicate of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date: January 26, 2001

Adriane M. Antler 32,605
Adriane M. Antler (Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosures

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